UNION BANK CUSTODY ACCOUNT – New Account Checklist (NSCC only)

Documents required to open your account:

- ☐ Participation Agreement
- ☐ Account Profile Form
- ☐ IRS Form W-9 – Request for Taxpayer Identification Number and Certification
- ☐ Customer Information Profile (CIP)
- ☐ IRS Tax Determination Letter
- ☐ List of Authorized Signers with specimen signatures with Accompanying Board Resolution

If Applicable

- ☐ Complete copy of EB Plan document, including amendments

Other documents which may be needed for your account:

- ☐ Online Trust & Custody Master Agreement: Required for access to view the account via secure website. Required in conjunction with the OTC General Enrollment Application
- ☐ Online Trust & Custody General Enrollment Application: Required for individual access to view the account via secure website

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Additional information enclosed for your reference:

- Protecting Your Privacy (mandatory on all new accounts)